

THE INSTITUTE OF INTERNAL AUDITORS
Jamaica Chapter
February 15 - 16, 2017

REGISTRATION FORM

RISK-BASED AUDITING

PLEASE PRINT OR WRITE CLEARLY

Name, Title and Email of Participant(s) & IIA Member Number:

1. _____

2. _____

3. _____

4. _____

Name and Address of Organization:

Phone Number(s)

Facsimile Number(s)

Signature & Email of Signing Officer for Organisation:

COURSE FEE

J\$48,000 (Individual), J\$45,000 (Members)

J\$46,500 (Early Bird - On or before February 1, 2017)

PAYMENT AND REGISTRATION

Kindly complete and return registration form, plus full payment
no later than Friday, February 10, 2017, in a cheque payable to:
The Institute of Internal Auditors

Register by sending completed Registration Form to email:
iiajamaicaseminars@gmail.com

Contact Person: Mr. Uriel Walker, Telephone # 404-3641

E-mail: iiajamaicaseminars@gmail.com

CANCELLATION POLICY

Applicants will be refunded 50% of total fee paid, if their notice of cancellation is given at least 14 days prior to the date of the programme. There will be no refund for cancellation made after Wednesday, February 1, 2017. Substitutions may be made at any time. The programme facilitators reserve the right to cancel the programme at any time, if there is insufficient enrolment. In that event, full course fee paid will be refunded.

PROGRAMME DETAILS

Seminar duration: Two Days

Field of study: Auditing

CPE hours available: 16

Instructor: Philip Flora, CIA, CISA,
CFE, CCSA

Date: February 15 - 16, 2017

Time: 8:30 am to 4:30 pm

Cost: \$48,000 (Individual)
\$46,500 (Early Bird)
\$45,000 (Members)

Package includes:
Coffee Break, Lunch and Training Materials.

Location: The Courtleigh Hotel and Suites
85 Knutsford Boulevard
Kingston 5

**CALLING ALL AUDIT STAFF
AND MANAGEMENT !!!**



TRAINING
Never Stop Learning

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The Institute of
Internal Auditors
Jamaica Chapter

RISK-BASED AUDITING:

**“ Developing,
Implementing and
Managing “**

**Wednesday, February 15, 2017
to
Thursday February 16, 2017
8:30am to 4:30pm**

**The Courtleigh Hotel and Suites
85 Knutsford Boulevard
Kingston 5**

**Big savings on course fees, airfare,
accommodation and transportation**

ABOUT THE PRESENTER

Philip Flora, CIA, CISA, CFE, CCSA

Phil is the Principal/Managing Member for FloBiz & Associates, LLC, member of the YCN Group and IIA Training Consultant. Phil was the Chief Audit Executive (CAE) at a not-for-profit public corporation for over 16 years. Phil's experience includes banking, public accounting and cost accounting. He also has over 30 years of auditing/ management experience.

In his 16 years as the CAE, Phil was responsible for the maintenance/development of the Internal Audit function that included leadership, risk assessment/audit planning, communication with management/board, staff hiring/ development and other administrative/operational activities. He transformed the function to enable audit coverage of the total organization. In cooperation with two other CAE's he developed an Internal Audit Leadership Development Program that has assisted in the development of over 70 future audit leaders.

He has been a frequent speaker at various national, regional, state and international conferences over the past ten years. He also has provided training on numerous subjects to IIA/ISACA Chapters and corporate clients related to internal auditing including - leadership, critical thinking, communication, innovation, IA audit committees/boards, quality assessments, governance/IT governance, ethics, risk assessment/management, interviewing, building customer/client relationships, staff development,

ABOUT THE PRESENTER cont'd

audit evidence, risk-based auditing, productivity, operational and IT audit.

SEMINAR DESCRIPTION

The first necessity in performing risk-based auditing is developing a comprehensive audit plan. This seminar will include the process for developing and implementing a risk-based assessment/audit planning process. Attendees will be provided with key information and examples to assist them in developing/improving their current risk assessment/audit planning process. Also, with the role of auditors continuing to become more complex and demanding, the efficient/effective use of audit resources continues to be more important. Participate in this seminar to better understand tools, techniques and approaches that will provide a framework to facilitate the risk-based auditing processes.

Participants will be exposed to:

- Why and how to perform Risk-Based Audits
- Important aspects of a strong risk assessment / audit planning process
- Definition of Risk Related Areas
- Applicable professional standards
- High-level overview – COSO Risk Management Framework
- Develop/improve your risk assessment/audit planning process
- Characteristics of a well-managed process

SEMINAR DESCRIPTION CONT'D

- Why projects get out of control
- What is scope creep and how to minimize the impact
- Annual risk assessment
- Use of the results of the annual risk assessment to facilitate performance of risk-based audits
- Tools, techniques & approaches that can be used throughout the audit process by the team leader, management and staff
- Audit engagement risk assessment (risk matrix)
- Case Studies

OVERVIEW OF THE IIA

The Institute of Internal Auditors (IIA) is a body of professional practitioners who have oversight of the efficiency and effectiveness of internal controls within a company's business operations. The auditors work closely with management, the Board of Directors and the Audit Committee to ensure that management operates the business according to ethical guidelines, follow international accounting standards and comply with laws and regulations.

The local chapter of the IIA has been in existence for the past twenty-two (22) years and belongs to a wider network of one hundred and seventy (170) international chapters.

The IIA: Our mission statement **"Progress Through Sharing"** exemplifies the underlining theme of our existence.